



Molecular Crystals and Liquid Crystals Science and Technology. Section A. Molecular Crystals and Liquid Crystals

Publication details, including instructions for authors and
subscription information:

<http://www.tandfonline.com/loi/gmcl19>

Rubbing Effect on Geometrical Anisotropy of Alignment Surface of Liquid Crystals

Hidehiro Seki ^a, Yoichiro Masuda ^a & Tatsuo Uchida ^b

^a Department of Electrical Engineering, Faculty of Engineering,
Hachinohe Institute of Technology, 88-1, Ohbiraki, Myoh,
Hachinohe-City, Aomori, 031, Japan

^b Department of Electronics Engineering, Faculty of Engineering,
Tohoku University, Aoba, Aramaki, Aoba-Ku, Sendai-City, Miyagi,
980-77, Japan

Version of record first published: 24 Sep 2006.

To cite this article: Hidehiro Seki, Yoichiro Masuda & Tatsuo Uchida (1996): Rubbing Effect on Geometrical Anisotropy of Alignment Surface of Liquid Crystals, Molecular Crystals and Liquid Crystals Science and Technology. Section A. Molecular Crystals and Liquid Crystals, 282:1, 323-329

To link to this article: <http://dx.doi.org/10.1080/10587259608037586>

PLEASE SCROLL DOWN FOR ARTICLE

Full terms and conditions of use: <http://www.tandfonline.com/page/terms-and-conditions>

This article may be used for research, teaching, and private study purposes. Any substantial or systematic reproduction, redistribution, reselling, loan, sub-licensing, systematic supply, or distribution in any form to anyone is expressly forbidden.

The publisher does not give any warranty express or implied or make any representation that the contents will be complete or accurate or up to date. The accuracy of any instructions, formulae, and drug doses should be independently verified with primary sources. The publisher shall not be liable for any loss, actions, claims, proceedings, demand, or costs or damages whatsoever or howsoever caused arising directly or indirectly in connection with or arising out of the use of this material.

Rubbing Effect on Geometrical Anisotropy of Alignment Surface of Liquid Crystal

HIDEHIRO SEKI and YOICHIRO MASUDA

*Department of Electrical Engineering, Faculty of Engineering,
Hachinohe Institute of Technology, 88-1, Ohbiraki, Myoh, Hachinohe-City,
Aomori 031, Japan*

and

TATSUO UCHIDA †

*† Department of Electronics Engineering, Faculty of Engineering,
Tohoku University, Aoba, Aramaki, Aoba-Ku, Sendai-City, Miyagi 980-77, Japan*

Abstract

Molecular alignment of liquid-crystal affects on electro-optical characteristics in display device. Rubbing on the alignment surface is most reliable process for obtaining uniform molecular alignment in manufacturing. In this paper, fine structures of the surface of the alignment substrates were observed by atomic force microscope (AFM) and the mechanism of liquid-crystal molecular alignment was investigated. As the results, the rubbing process induces geometrical anisotropy on the substrate in specific condition and has not monotonous dependence of the rubbing condition.

Keywords ; liquid crystal, molecular alignment, rubbing method, AFM





1. Introduction

The factors that affect the orientation of liquid-crystal molecules are the alignment effect of solid surfaces and the geometrical effect of alignment surfaces. The dominant factor

in nematic liquid crystals is clearly suggested by Kahn.¹ Typical alignments on the surface with parallel grooves in one direction are shown in TABLE 1. The energies F_p of the physicochemical interaction are zero when the liquid crystal aligns parallel and perpendicular to the homogeneous and homeotropic surfaces, respectively. On the other hand, the elastic energy F_e of liquid crystal is zero when the molecules align in one direction and deformed alignment of liquid crystal makes $F_e \neq 0$. TABLE 1 shows the results of F_p and F_e on the four alignments. In these cases, $F_e(\perp) \doteq F_e(\parallel)$ can be considered. Liquid crystal is stabilized so that the free energy $F=F_p+F_e$ is minimized. On the homogeneous surface, the alignment (a) is dominant and the alignment (b) or (d) corresponds to the homeotropic surface. From the table, it is confirmed that whether the alignment in the bulk becomes homogeneous or homeotropic alignment depends on the physicochemical energy, on the geometrical structure of the surface. Therefore, the physicochemical interactions are the main factor that determines the molecular alignment, and the geometrical effect of the surface is the second factor that determines the direction of the homogeneous alignment as shown in TABLE 1 (a). In the case of oblique evaporation, as the surface structure is strongly deformed, the geometrical structure mainly affects the molecular alignment.

Molecular alignment of liquid-crystal affects on Electro-optical characteristics in display device. Rubbing on the alignment surface is most reliable process for obtaining uniform molecular alignment in manufacturing. This process, however, has some demerits such that dusts of cloth fibers contaminate the rubbing are and electrostatic

TABLE 1 Elastic free energy for nematic materials alignment by grooved substrates. Orientations of nematic directors (alignment directions of the long molecular axis) are shown. ¹

Surface Alignment	Homogeneous Surface		Homeotropic Surface	
	F_p	F_e	F_p	F_e
(a) 	0	0	F_{\perp}	0
(b) 	$F_{\parallel} \cos^2 \theta$	0	$F_{\perp} \cos^2 \theta$	0
(c) 	0	$F_e(\parallel)$	F_{\perp}	$F_e(\parallel)$
(d) 	F_{\parallel}	$F_e(\perp)$	0	$F_e(\perp)$

charge damages thin film transistors. New process in place of rubbing is required in manufacturing the display panel. In this paper, fine structures of the surface of the alignment substrates are observed by atomic force microscope (AFM) and the mechanism of liquid-crystal molecular alignment is investigated.

2. Experimental

In order to investigate the effect of rubbing, a substrate coated with surface coupling agent for homogeneous alignment, was examined, as shown in FIGURE 1. The surface coupling agent was AL1051 made by Japan Rubber Synthesis Co. Ltd. The condition of a rubbing apparatus is shown in FIGURE 2. A polishing cloth was used for rubbing. The structure of the alignment surface was examined by an atomic force microscope NanoScope III by Digital Instrument.

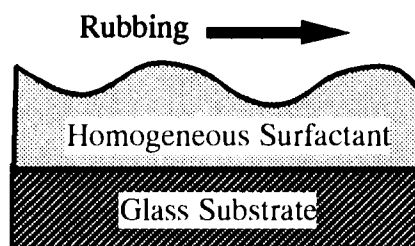


FIGURE 1 Structure of the experimental rubbed substrate.

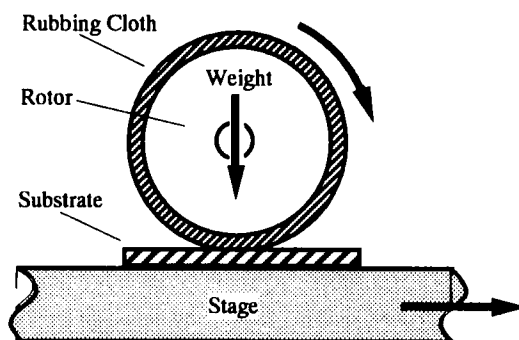


FIGURE 2 Arrangement of rubbing system.

3. Results

FIGURES 3 and 4 show the power spectra of the alignment surface. The spectrum analysis allows filtering of images in the frequency domain through the fast Fourier transform (FFT). The analysis was carried out in the $2\mu\text{m}$ length in one direction. Wavenumber in the abscissa was obtained in the $2\mu\text{m}$ length. FIGURE 3 shows the results of the surface without rubbing ($L=0[\text{cm}]$), every sampling direction in (a) and (b) is crossed each other. These two directions are selected for representing the anisotropy of surface geometry. There is not remarkable difference between FIGURES 3(a) and 3(b). So that, the geometrical anisotropy of the untreated surface can not be observed from these spectra.

FIGURE 4 shows the geometrical spectrum of the alignment surface with rubbing ($L=10[\text{cm}]$). FIGURES 4(a) and (b) are data in the directions parallel and perpendicular to the rubbing direction, respectively. The spectrum of parallel direction have almost

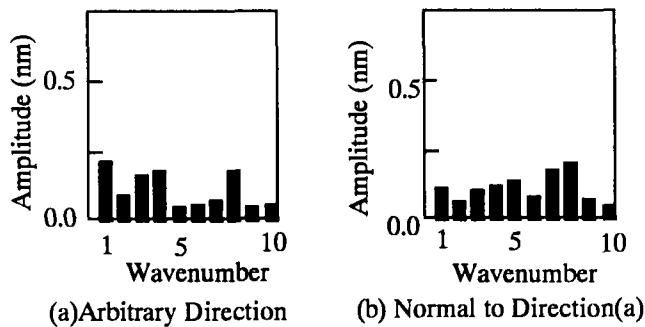


FIGURE 3 Power spectrum of geometrical structure of non-rubbed substrate. ($L=0[\text{cm}]$, Length $2\mu\text{m}$)

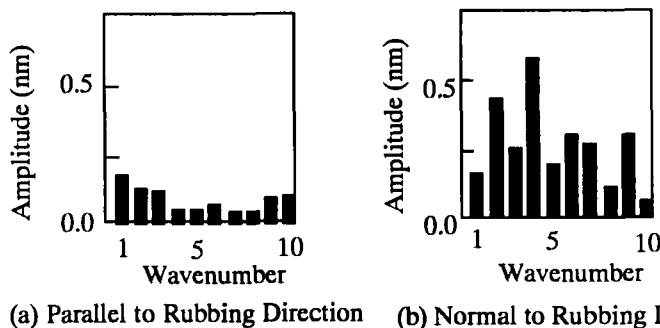


FIGURE 4 Power spectrum of geometrical structure of rubbed substrate. ($L=10[\text{cm}]$, Length $2\mu\text{m}$)

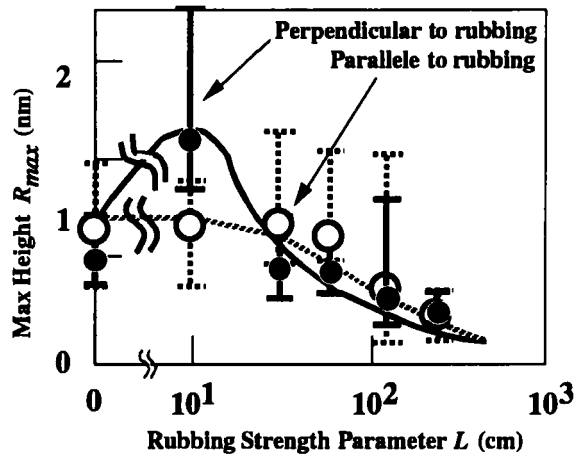


FIGURE 5 Rubbing strength parameter L dependence of max height R_{max} . Max height is the difference between the highest and lowest points.

same tendency as FIGURE 3. On the other hand, the perpendicular direction has few times large amplitude. Wavenumber 4, which corresponds to 500nm wavelength, has relatively large amplitude. These data show that the surface geometry of alignment surface varies according to the rubbing conditions.

In order to evaluate the surface geometry, max height R_{max} was introduced. The max height is the difference in height between the highest and lowest point on the surface relative to the mean plane. In this case, the mean plane of the surface has the meaning that the image data has a minimum variance about this flat plane. FIGURE 5 shows rubbing strength parameter L dependence of R_{max} which is the difference between max and minimum height. R_{max} decreases according to the increase of L . On the other hand, R_{max} of the direction perpendicular to rubbing has peak value.

Next, the alignment surface was evaluated by mean roughness. Mean roughness R_a is the mean value of the surface relative to the center plane and is calculated using ;

$$R_a = \frac{1}{l} \int_0^l |f(x, y)| dx$$

where $f(x, y)$ is the surface to the center plane and l is the dimension of the surface. Two crossed directions l_x and l_y are selected to express the geometrical anisotropy of the surfaces shown in FIGURE 6. FIGURE 7 shows the rubbing strength dependence of mean roughness value R_a of the rubbed surface. The parameter L exhibits the strength of

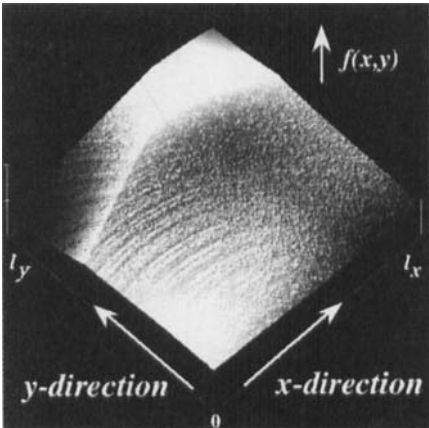


FIGURE 6 Expression of geometrical anisotropy of rubbed surface by mean roughness R_a . Mean roughness is the mean value of the surface relative to the center plane.

the rubbing quantitatively.² The values of R_a was calculated in the two directions that are parallel or perpendicular to the rubbing. The figure shows the average, max and minimum values of R_a on ten data in one direction of the surface. It can be seen that the increase of the rubbing strength parameter L reduces the mean roughness R_a of the rubbed surface. However, the surface of $L=10(\text{cm})$ gives remarkable difference between two directions. The perpendicular value is about two times as much as the parallel one. Therefore, the geometrical anisotropy is induced in this condition. The

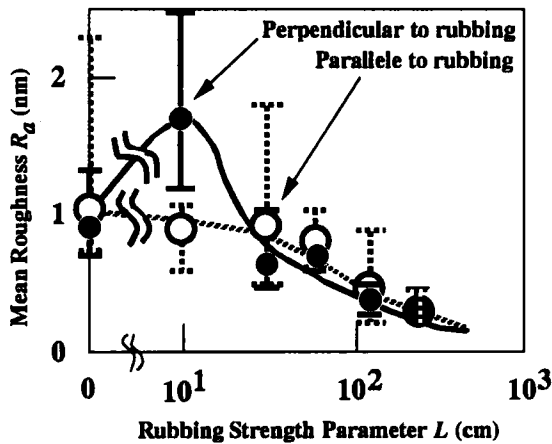


FIGURE 7 Rubbing strength parameter L dependence of mean roughness R_a .

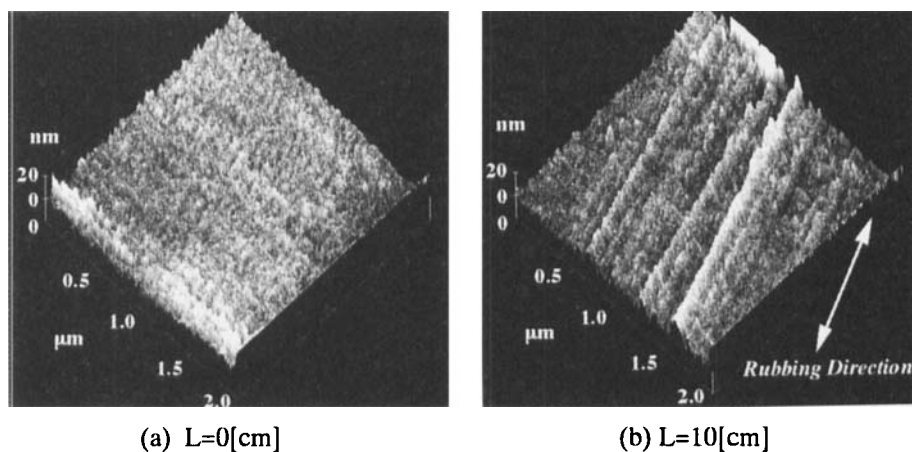


FIGURE 8 AFM images of geometrical anisotropy of rubbed surface.

spectra of fast Fourier transform in FIGURE 4 also support this result. The tendency of R_a is same as R_{max} as shown in FIGURE 6. As the results, the rubbing process induces geometrical anisotropy in specific condition and has not monotonous dependence of the rubbing condition.

FIGURE 8 shows AFM images of alignment surface with and without rubbing process. Many grooves parallel to the rubbing are generated under the condition of $L=10[\text{cm}]$ in FIGURE 8(b) and the direction perpendicular to the rubbing has rough structure. It is considered that these structures are originated in digging and smoothing effects of rubbing process.

4. Conclusion

Molecular alignment of liquid-crystal affects on electro-optical characteristics in display device. In this paper, fine structures of the surface of the alignment substrates are observed by atomic force microscope (AFM) and the mechanism of liquid-crystal molecular alignment investigated. As the results, the rubbing process induces geometrical anisotropy in specific condition and has not monotonous dependence of the rubbing condition.

References

1. F. J. Kahn et al., *Proc. IEEE*, **61**, 823 (1973).
2. T. Uchida et al., *Liquid Crystals*, **5**, 1127 (1989).